

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**LUKP:104US**

In Re Application Of: **Ian Richard Joseph Bates et al.**

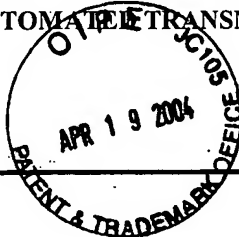
Serial No.  
**10/758,804**

Filing Date  
**01/16/2004**

Examiner  
**unknown**

Group Art Unit  
**unknown**

Title: **AUTOMATIC TRANSMISSION SYSTEMS**



Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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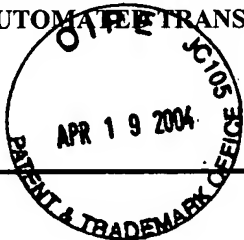
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**AUTOMATIC TRANSMISSION SYSTEMS**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0822 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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**Certificate of Mailing by First Class Mail**

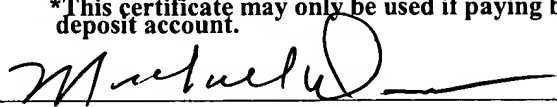
I certify that this document and fee is being deposited on April 16, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Michael L. Dunn, Esq., Registration No. 25,330

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: April 16, 2004

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CC:



**U.S. UTILITY PATENT APPLICATION  
ATTORNEY DOCKET NO. LUKP:104US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Matter of United States Patent Application:

Applicant(s): Ian Richard Joseph Bates et al.

Examiner: N/A

Application No.: 10/758,804

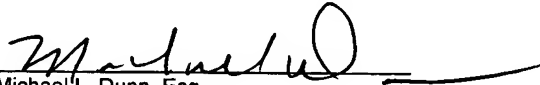
Art Unit: N/A

Filed: January 16, 2004

For: AUTOMATED TRANSMISSION SYSTEMS

**Certificate of Mailing by First Class Mail**

I certify that this Information Disclosure Statement is being deposited on April 16, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

  
Michael L. Dunn, Esq.  
Registration No. 25,330

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:

This Information Disclosure Statement is submitted in compliance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98.

Record is attached of published art for consideration during prosecution of the above-identified patent application. No representation is made or intended that a search has been made or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant prior art.

Unless otherwise indicated, or unless references cited are U.S. patents or patent application publications, a full text copy of the entire reference of published art is attached. Where specifically indicated, an equivalent English language patent or publication is attached in lieu of the translation.

An English language abstract is attached to WO 02/066870 to provide a concise explanation of the relevance of the reference.

In accordance with the Patent and Trademark Office Notice of August 15, 1980, also enclosed is a completed Form PTO-A820 (Form PTO-1449).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael L. Dunn", followed by a horizontal line.

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Dated: April 16, 2004

MLD/LAC  
Enclosures (6 references)

*(Use several sheets if necessary)*

**LUKP:104US**

**10/758,804**

**Ian Richard Joseph Bates et al.**

**01/16/2004**

**unknown**

[illegible]

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 02/066870	08/29/2002	WIPO				
		WO 92/13208	08/06/1992	WIPO				
		EP0101220	01/28/1987	Europe				
		EP0059035	01/21/1987	Europe				
		EP0038113	07/23/1986	Europe				


**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*(Use several sheets if necessary)*

SERIAL NO. 10/748,804

FILING	GROUP
01/16/2004	unknown

[illegible][illegible]


DATE CONSIDERED

PAGE 2 OF 2